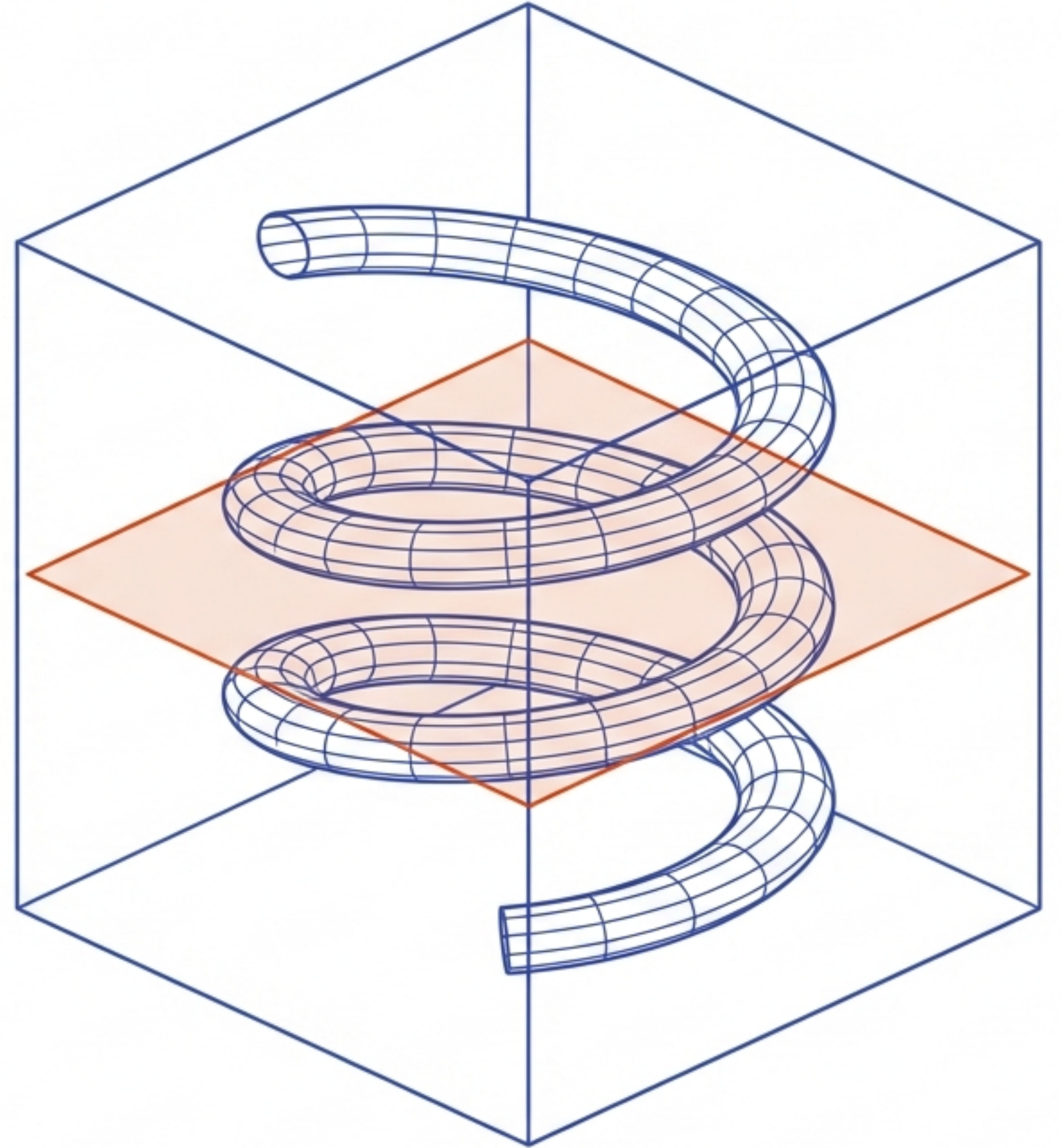


# Optimization Landscape and Feasibility in Updated Riemannian AmbientFlow.

A Systematic Empirical Investigation of the Landscape-Feasibility Trade-off.

Based on 'Optimization Landscape and Feasibility in Updated Riemannian AmbientFlow' (Anonymous Authors).





# Executive Summary: The Landscape is the Culprit.

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**THE GOAL.**

Investigate if theoretical assumptions (F1, F2, F3) of Riemannian AmbientFlow hold in practice using synthetic "torture tests" (Circle, Sphere, Helix).

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**THE ALIBI.**

It is NOT a capacity issue. Oracle experiments prove the architectures can represent the manifolds perfectly.



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**THE VERDICT.**

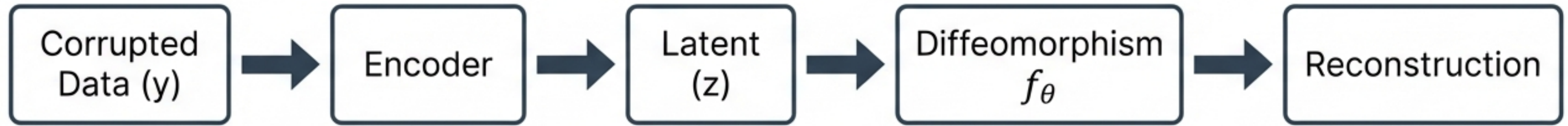
Feasibility assumptions fail. Increasing geometric regularization ( $\Lambda$ ) actively degrades data matching.

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**THE CAUSE.**

The non-convex optimization landscape traps the model in **local minima** where the metric is systematically underestimated.



# The Subject: Riemannian AmbientFlow



$$\mathcal{L}(\theta, \phi) = \text{ELBO} + \lambda \left\| \mathbf{J}f_{\theta\theta}(\mathbf{0}) \right\|_F^2$$

↖  
Variational Lower Bound  
(Data Fit)

↖  
Geometric Regularization  
(Penalizes Stretching)

Objective: To learn low-dimensional manifolds from noisy ambient data by enforcing geometric simplicity at the origin.



# The Theoretical Promise: The Recoverability Theorem

Theory guarantees recovery IF three conditions are met at the solution

## Condition F1: Data Matching

Learned distribution  
approx Ground Truth

$$p_{\text{theta}} = p_{\text{data}}$$

## Condition F2: Posterior Matching

Learned posterior approx  
True posterior

$$q_{\text{phi}} = p_{\text{theta}}(\mathbf{z}|\mathbf{y})$$

## Condition F3: Geometric Constraint

Jacobian norm is bounded  
by the true constant

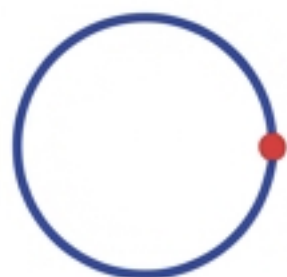
$$\|Jf_{\text{theta}}(0)\|_F^2 \leq C^*$$

**The Question: Do gradient-based optimizers actually find these solutions?**



# The Interrogation Room: Experimental Design

## The Suspects (The Manifolds)



### Circle ( $\mathbb{R}^2$ )

$C^* = 1.0$ . Simple topology.



### Sphere ( $\mathbb{R}^3$ )

$C^* = 8.0$ . High curvature via stereographic projection.



### Helix ( $\mathbb{R}^3$ )

$C^*$  approx 1.025. Non-compact geometry.

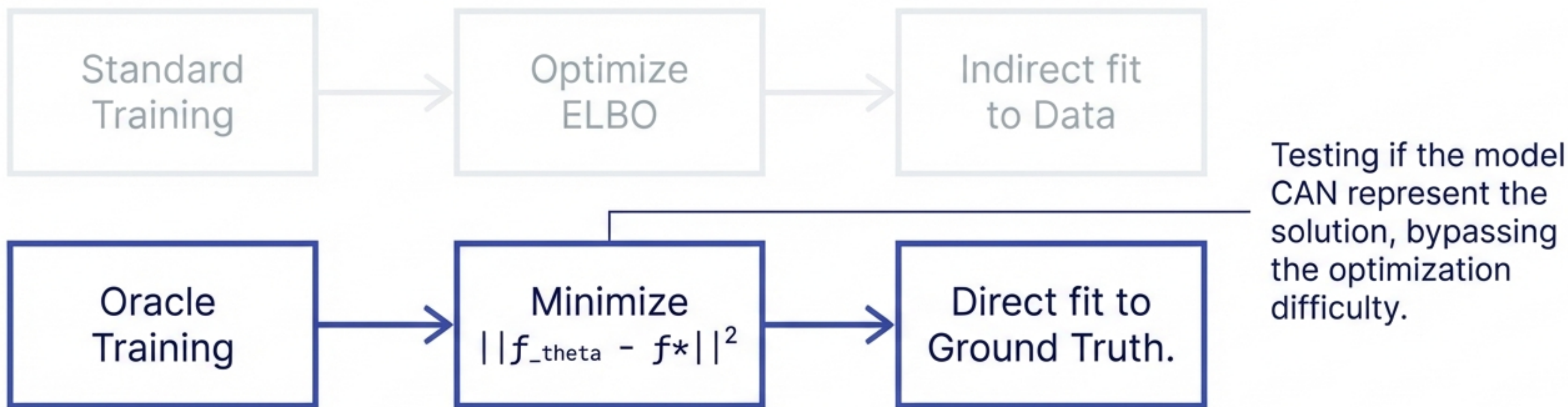
## The Stress Test Parameters

Parameter Class	Value/Description
Corruption	Gaussian Noise (sigma = 0.1)
Optimizer	L-BFGS-B (200 Iterations)
Architectures	Simple (Affine + tanh, 9-19 params) vs. MLP (2 hidden layers, ~1200 params)



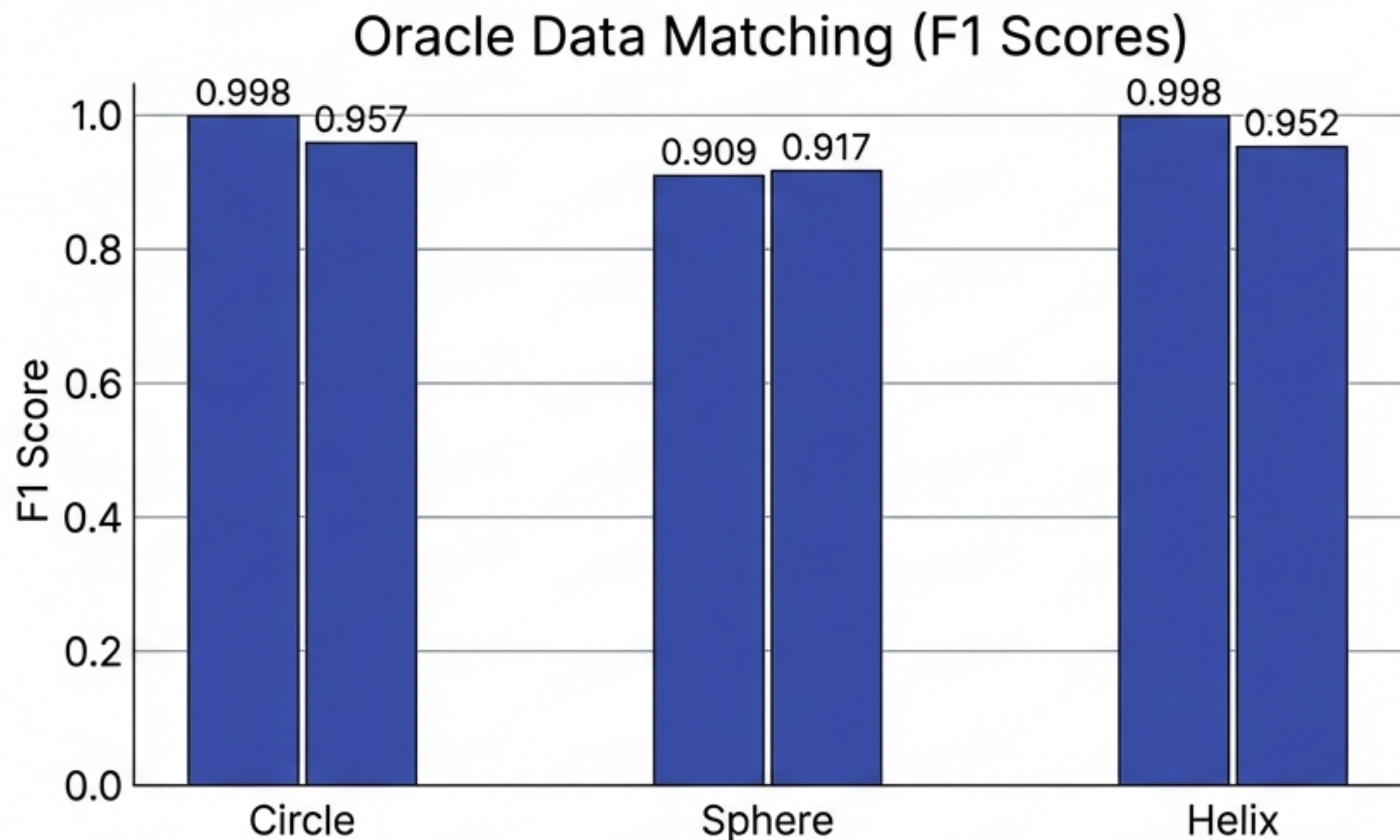
# Ruling Out Incompetence: The Oracle Experiment

Before blaming the optimization landscape, we must ensure the model has the Capacity to learn the manifold.





# Verdict on Capacity: The Models Are Capable



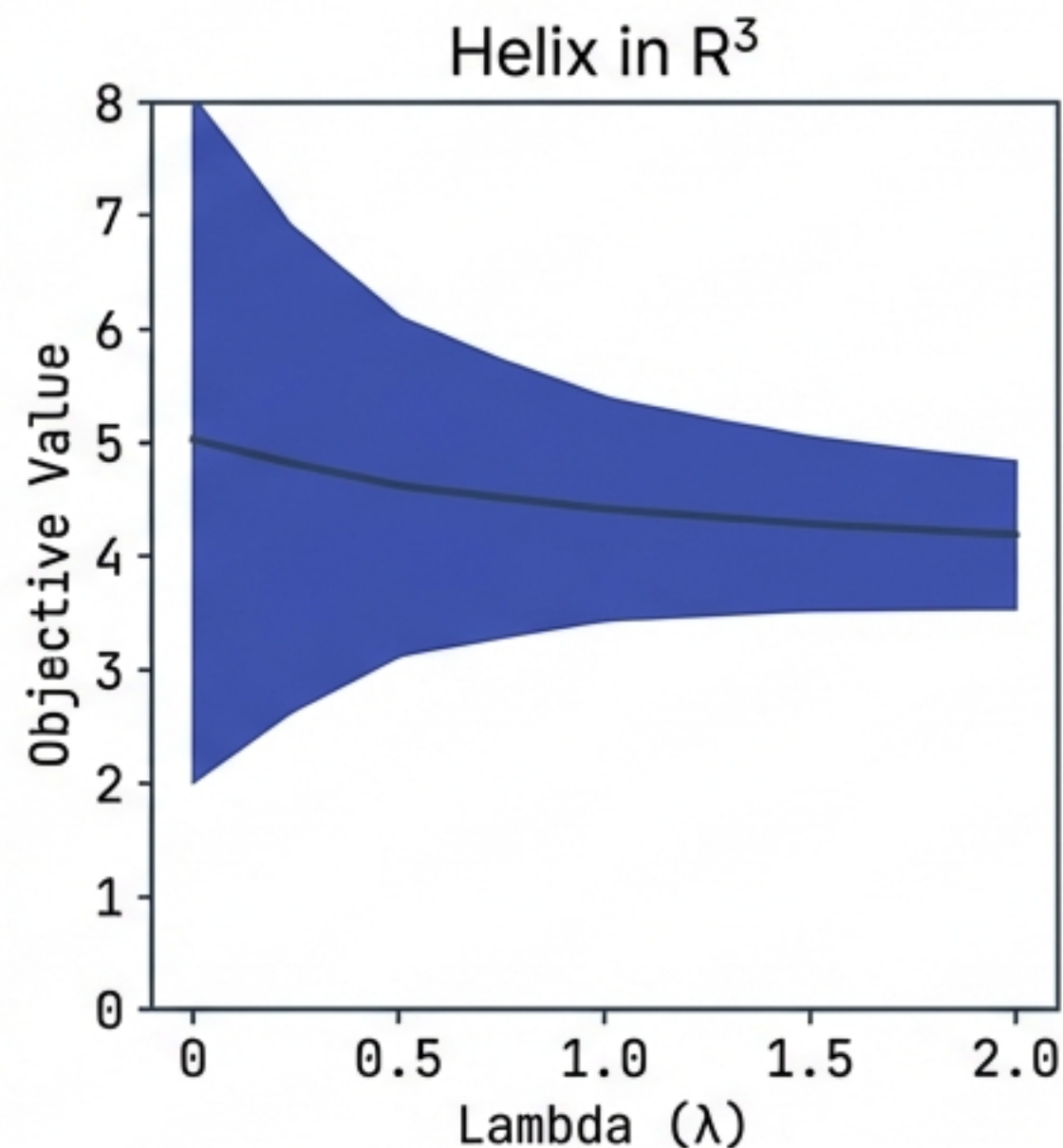
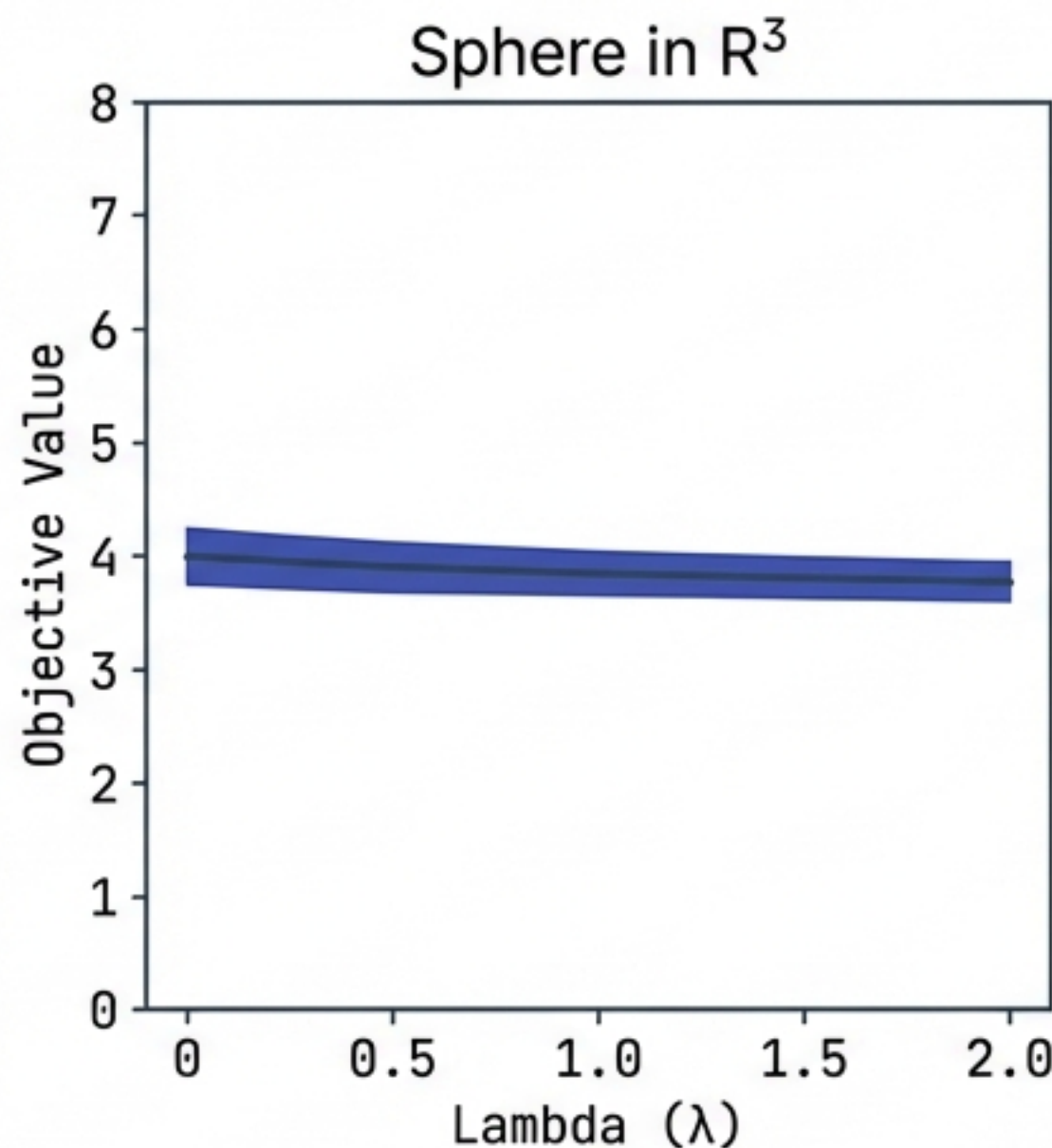
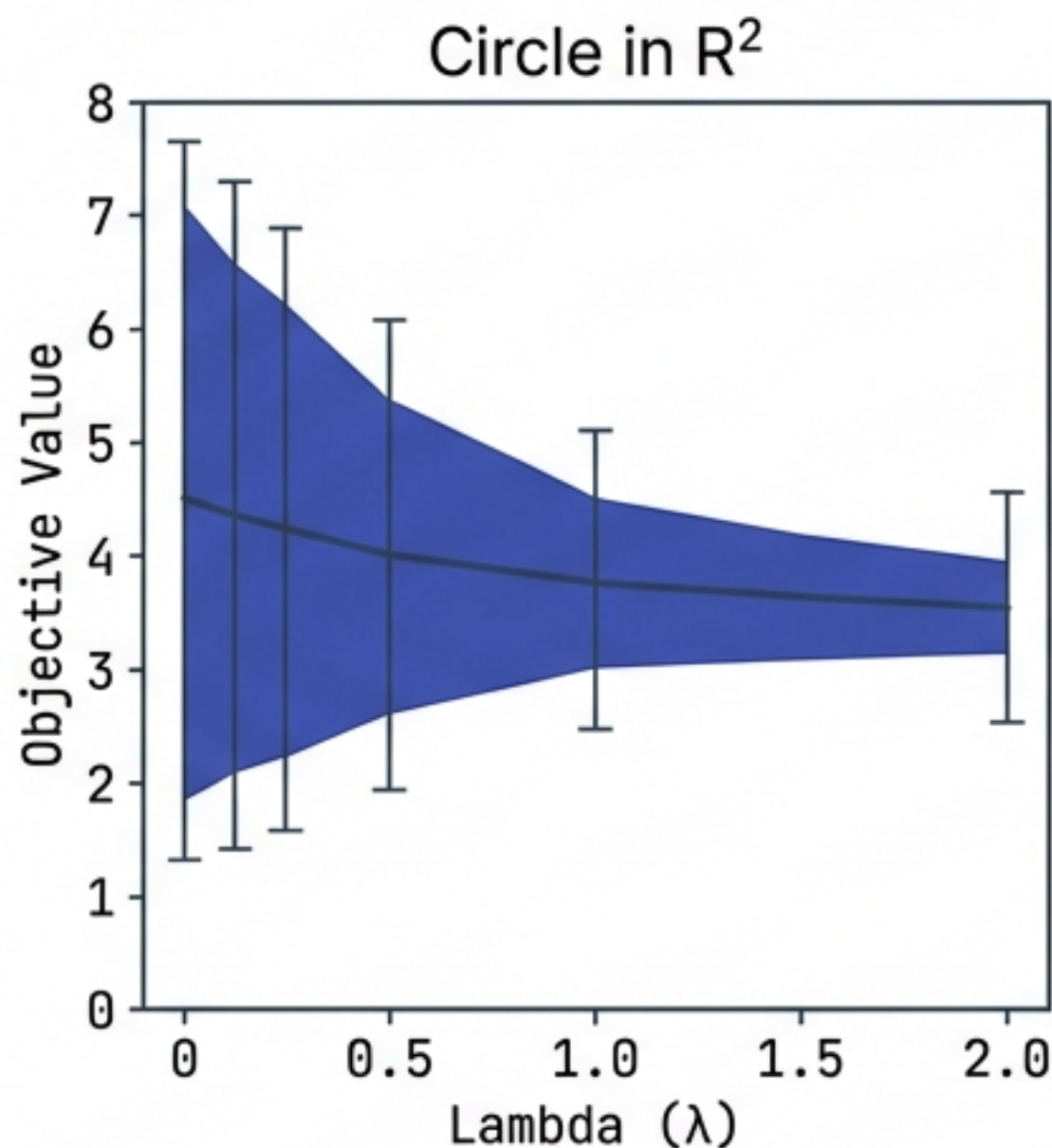
Caveat: The Sphere model underestimates the metric trace ( $\sim 3.2$  vs 8.0) even at Oracle, suggesting intrinsic geometric difficulty.

Implication: If ELBO training fails later, it is a LANDSCAPE problem, not a capacity problem.



# Landscape Exploration: Instability at Low Regularization

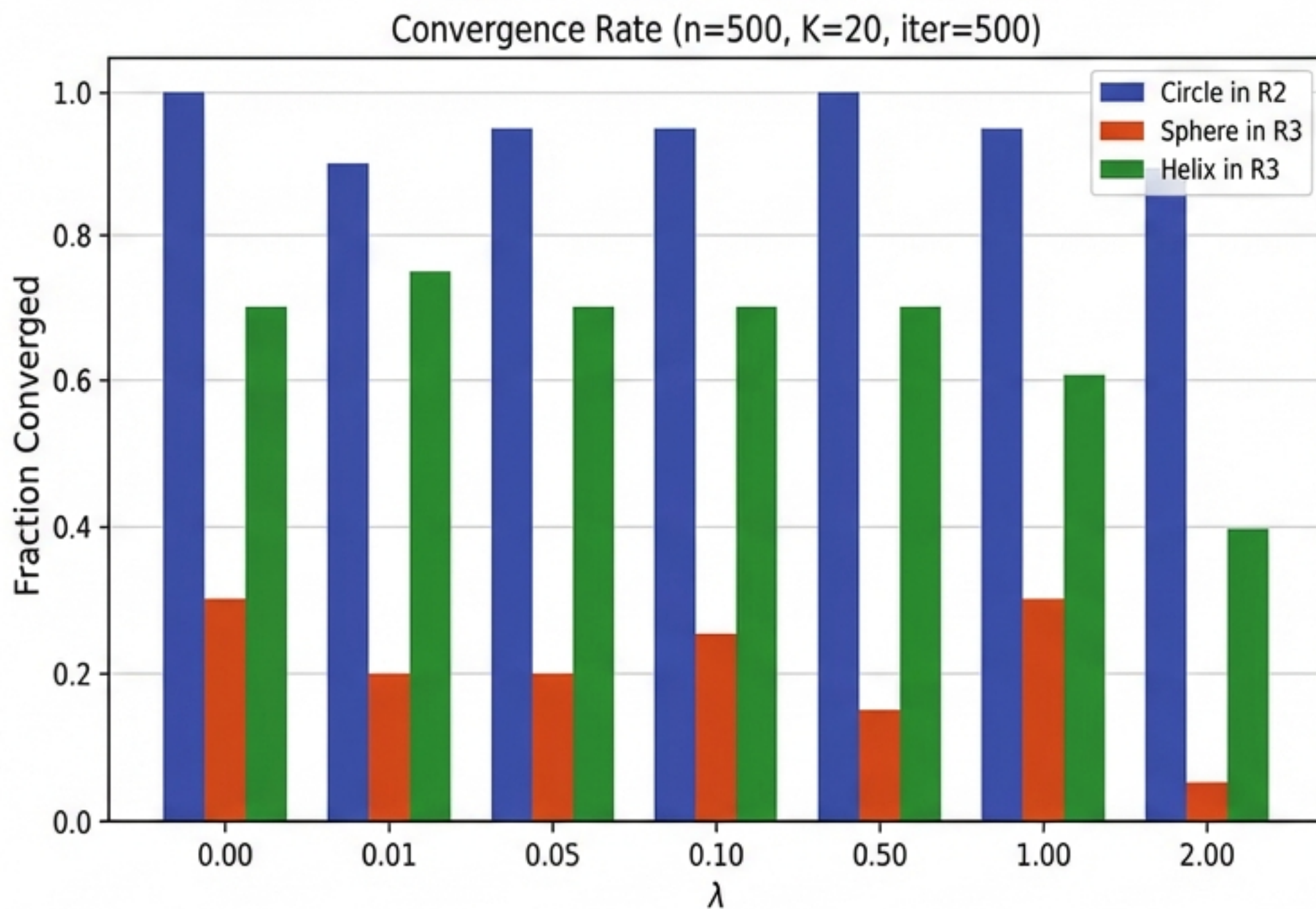
Objective Value vs. Regularization Strength



High variance at Lambda=0 proves the existence of multiple distinct basins of attraction.



# Convergence Diagnostics: Stalled, Not Lost.



# 93%

of runs hit the  
200-iteration limit.

However, final gradient norms are small (median < 0.3). The optimizer reaches near-stationary points but struggles to settle completely.

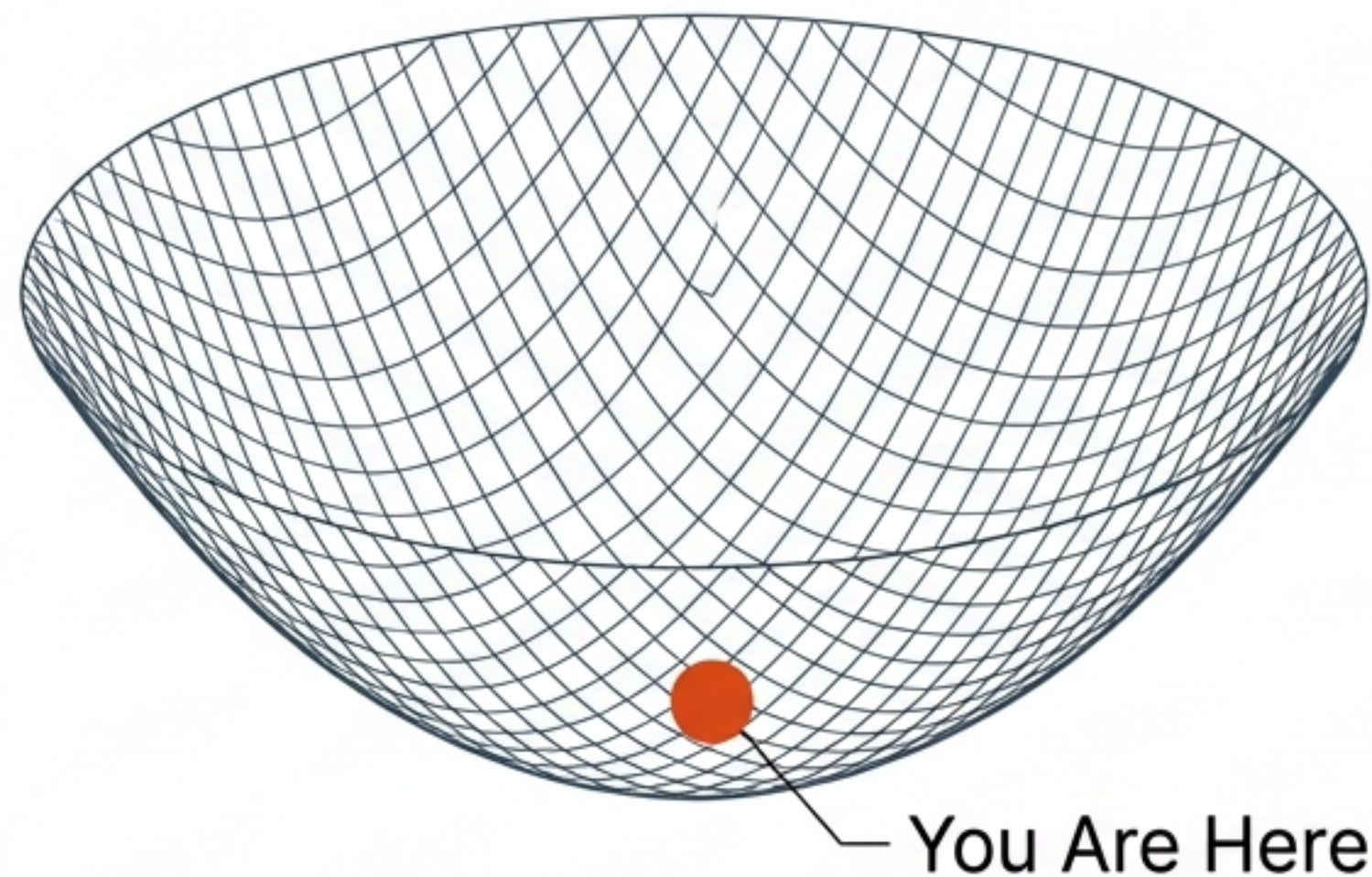


# Hessian Spectral Analysis: Confirmed Local Minima.

## Are we stuck in saddle points or true traps?

- Method: Sampled 50 directional second derivatives ( $v^T H v$ ) at converged solutions.

Result: 0 negative curvature directions detected across 600+ samples.

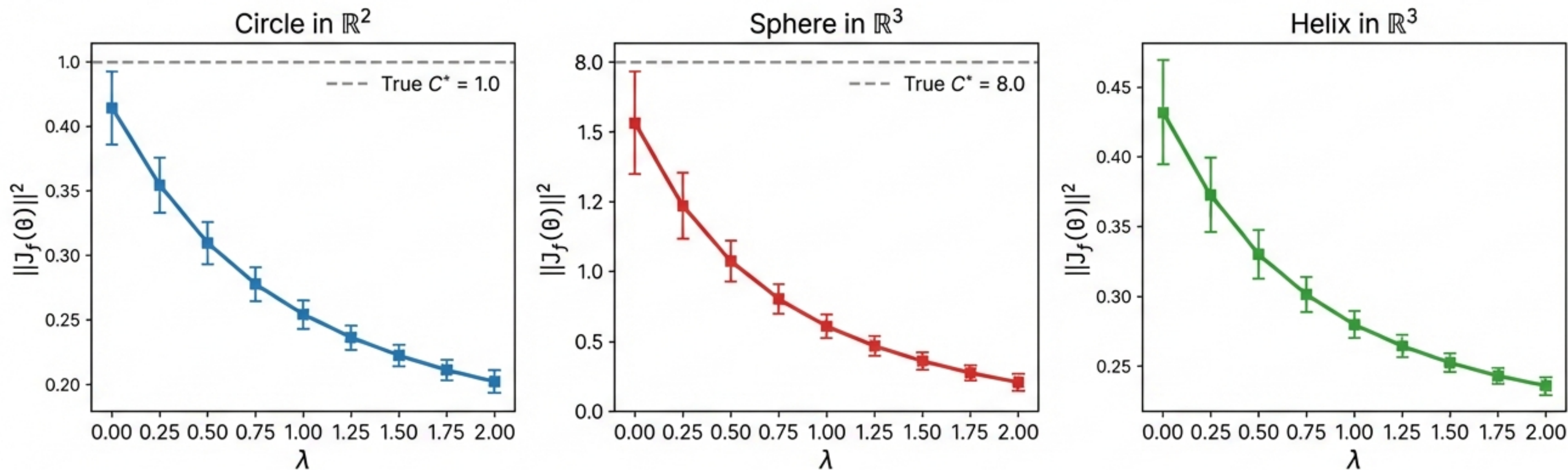


Verdict: We are stuck in genuine local minima. The infeasibility is stable.



# The Regularization Works (Perhaps Too Well)

Jacobian Frobenius Norm at Converged Solutions

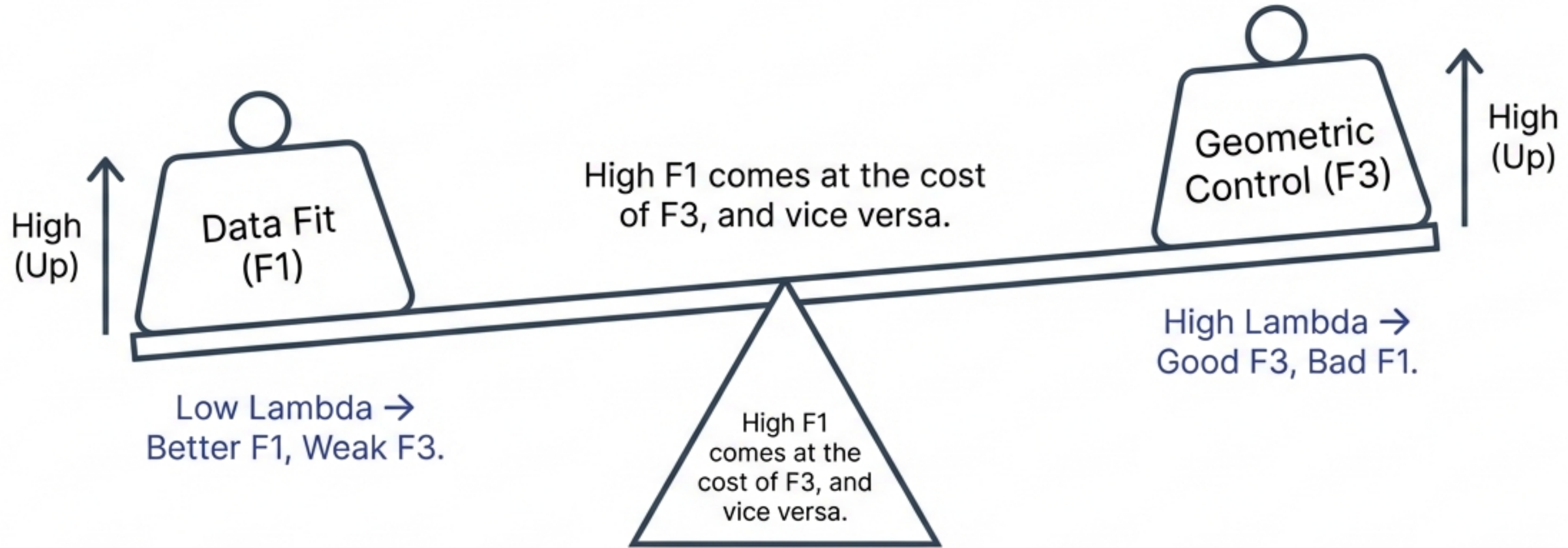


The penalty effectively shrinks the Jacobian, pushing it far BELOW the true manifold geometry.



# The Landscape-Feasibility Trade-off

There is no “Free Lunch” parameter setting. in Inter

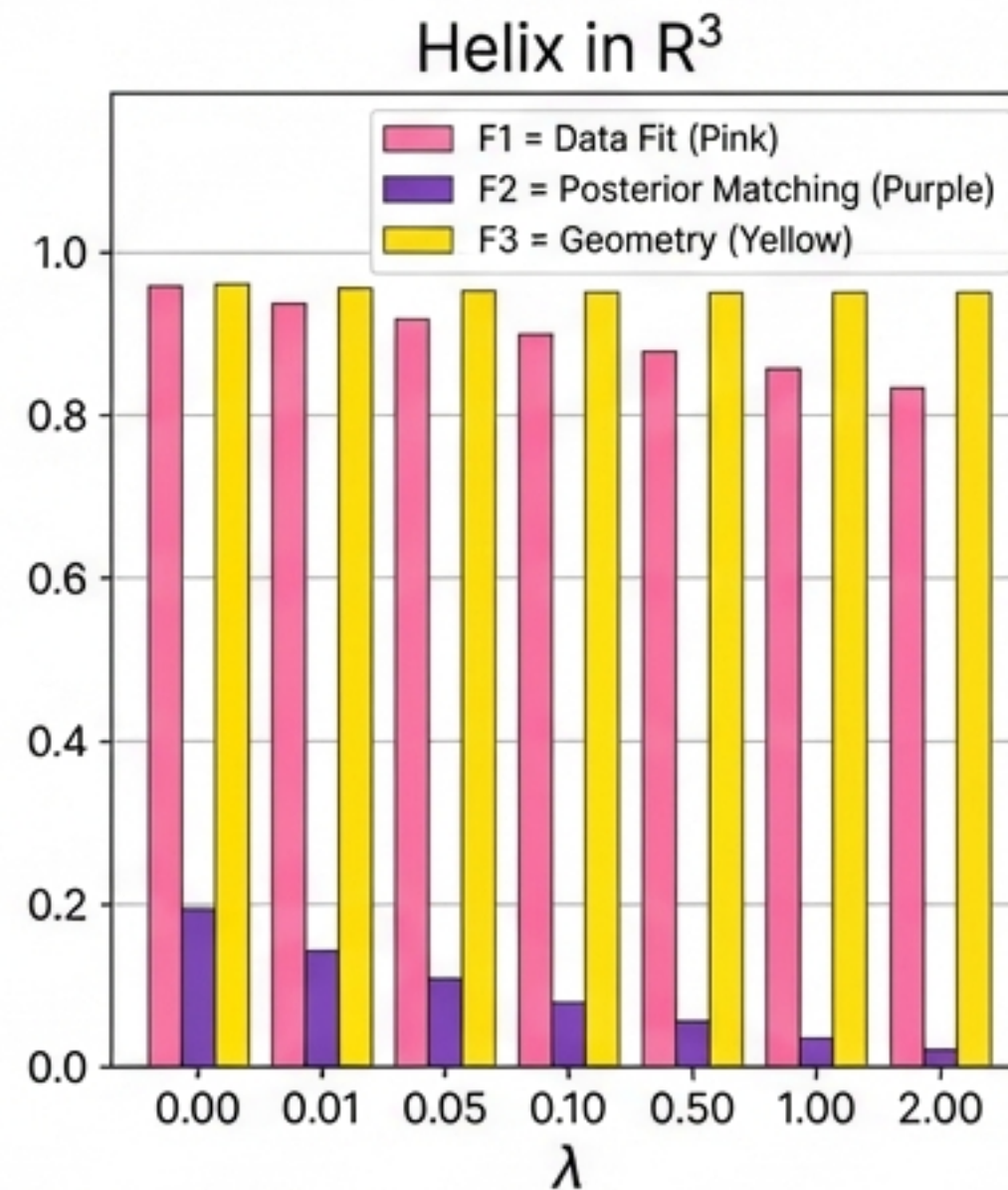
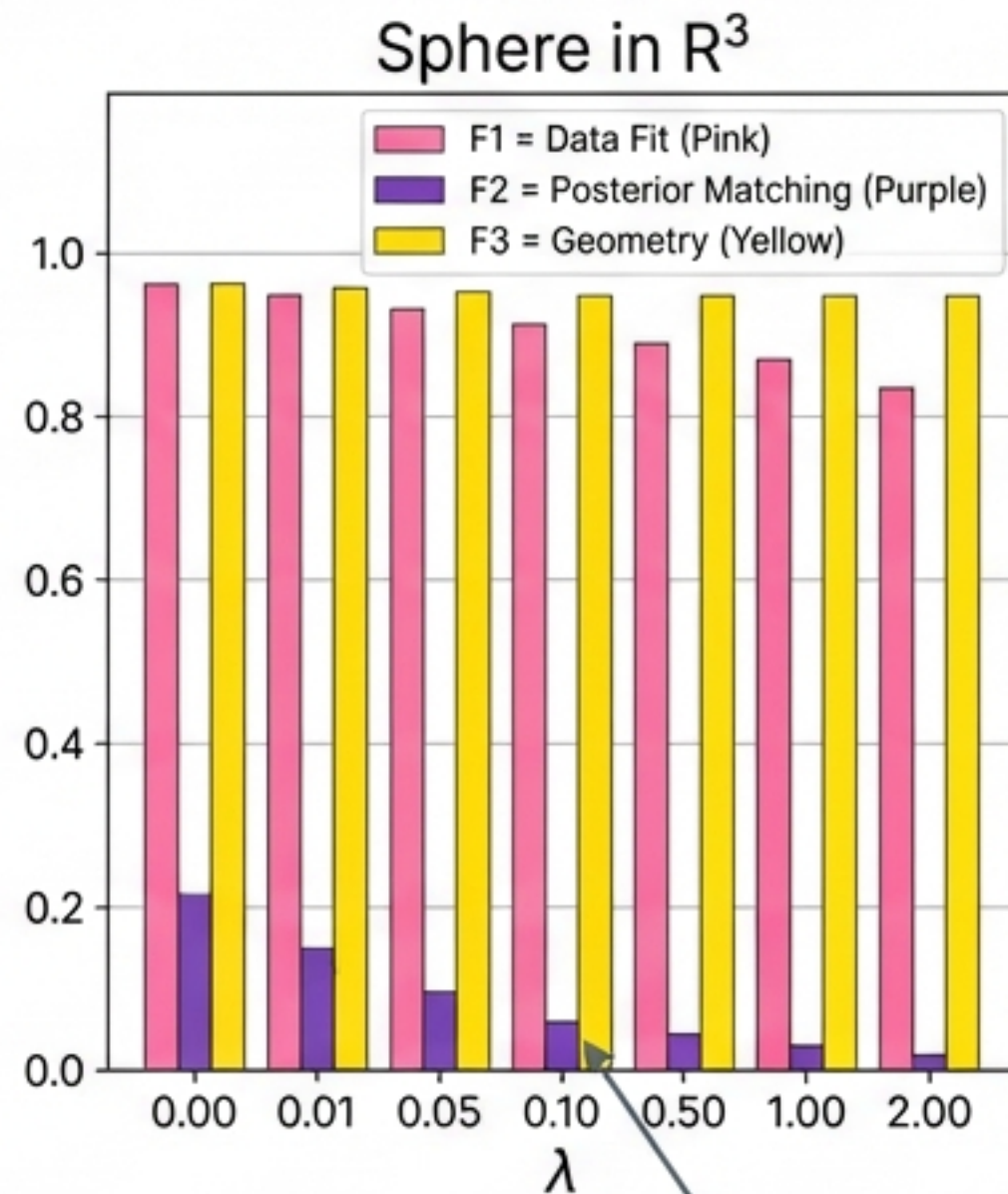
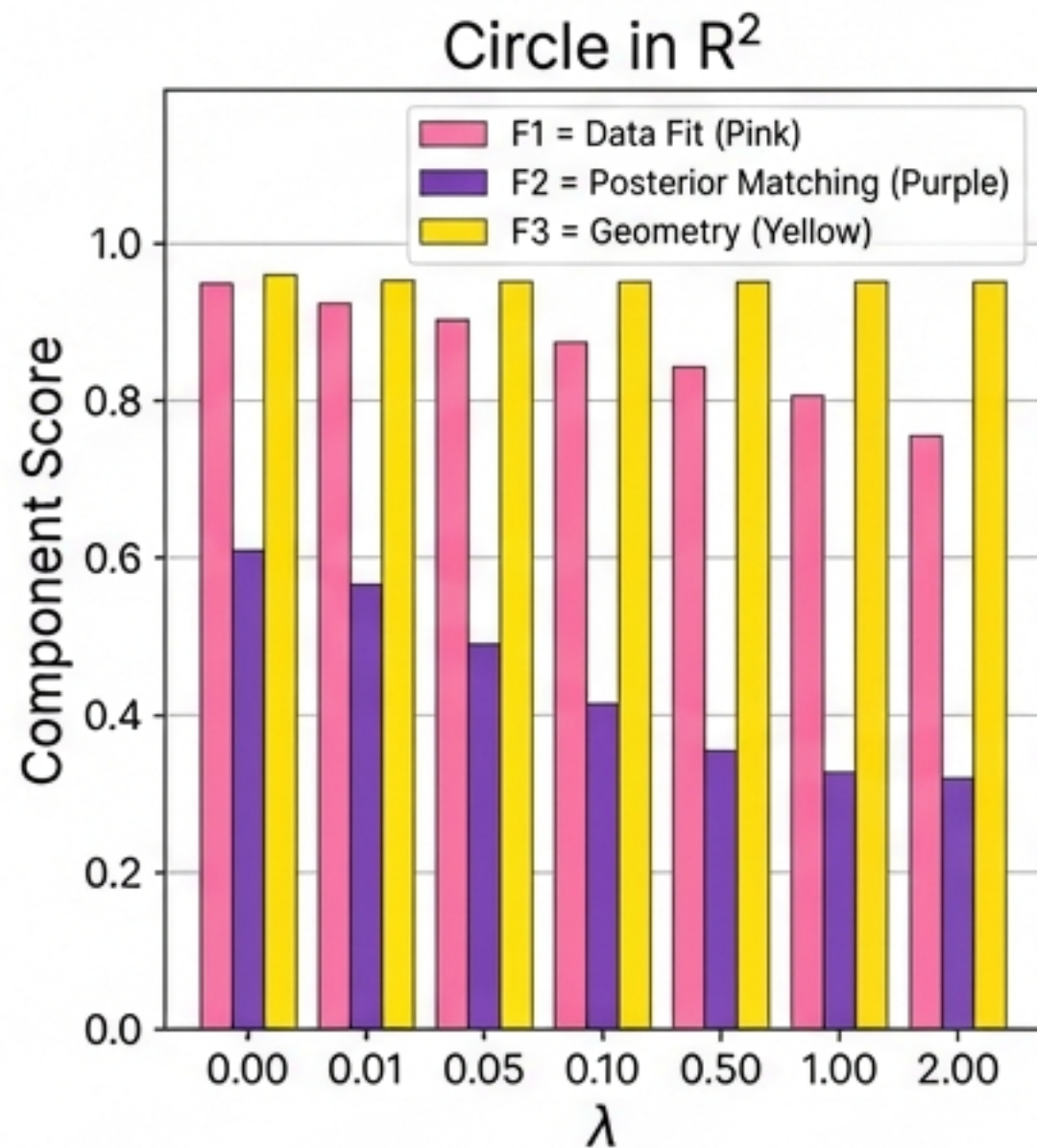


**Aggregate Feasibility Scores are consistently low.**

Circle Max: ~0.30  
Sphere Max: ~0.02.



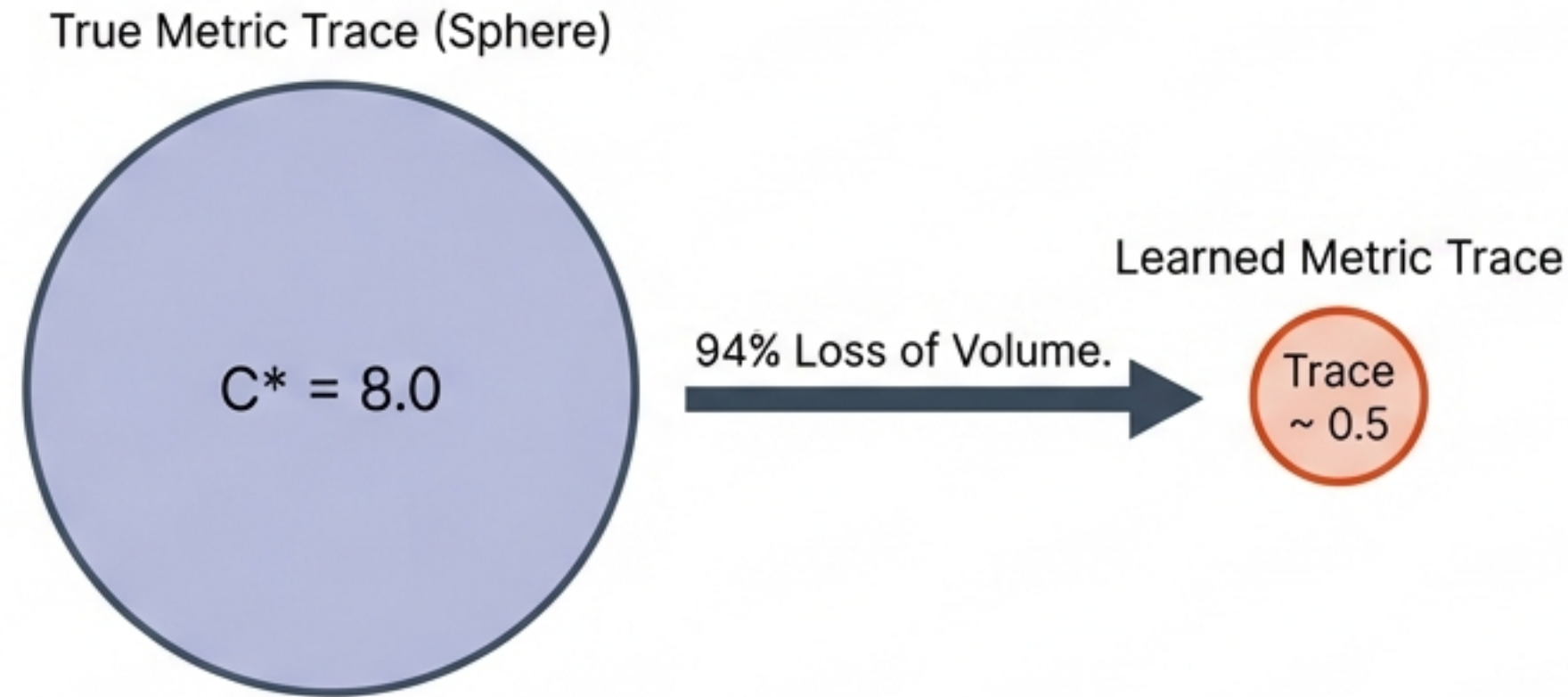
# Decomposing the Failure: F1 vs. F2 vs. F3



F2 (Posterior Matching)  
is the dominant failure mode.

# The Pullback Metric: Systematic Underestimation

The geometric penalty crushes the learned manifold.



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## Trace Ratio (Learned / True)

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Sphere (Lambda=1.0) → **6.4%**

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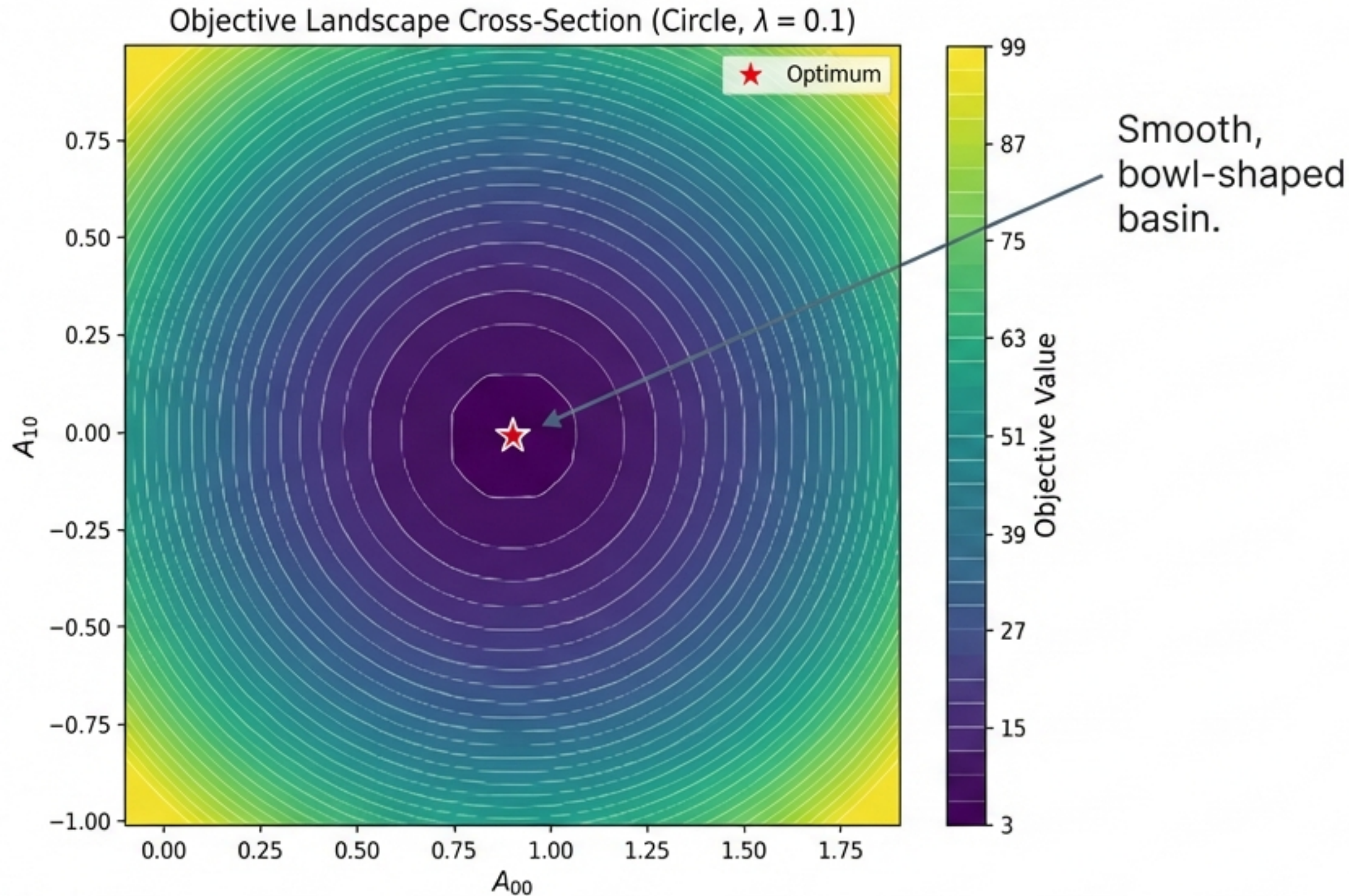
Circle (Lambda=1.0) → **26.1%**

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The model "cheats" the penalty by flattening the manifold, creating a valid F3 score but destroying the geometry.



# Visualizing the Trap: Landscape Cross-Section.

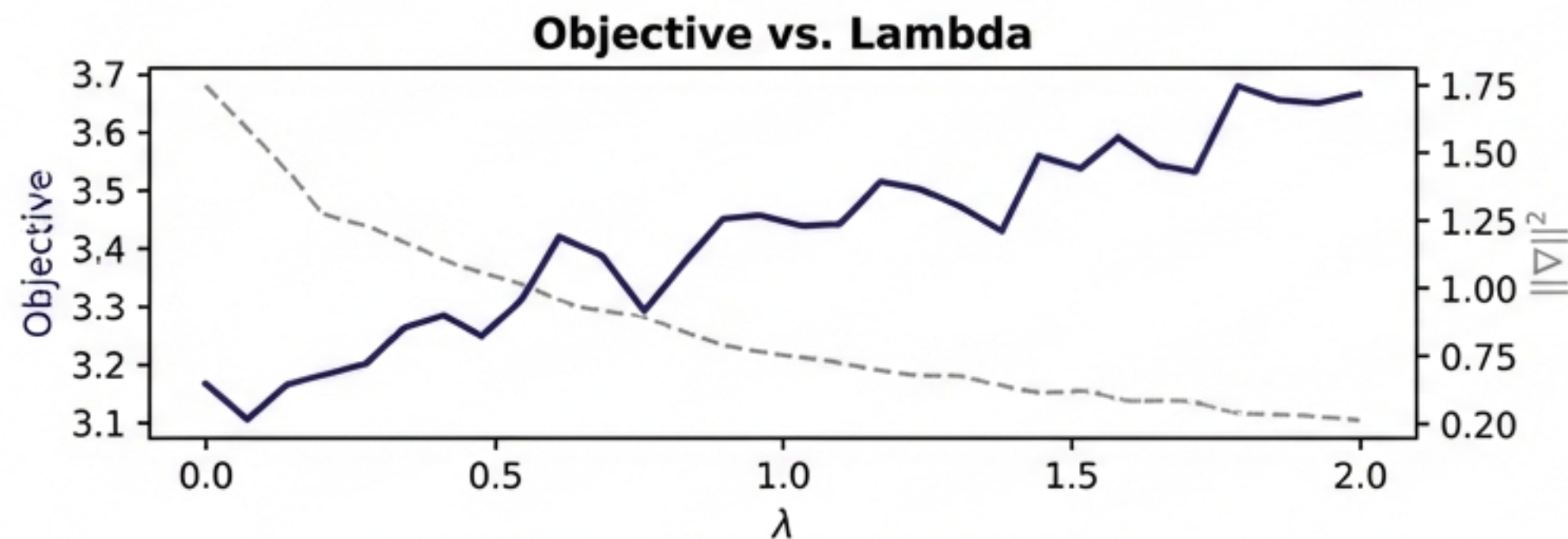


The optimizer falls into a smooth basin that is **DISTINCT** from the ground-truth solution. It is not confused by noise; it is securely trapped.

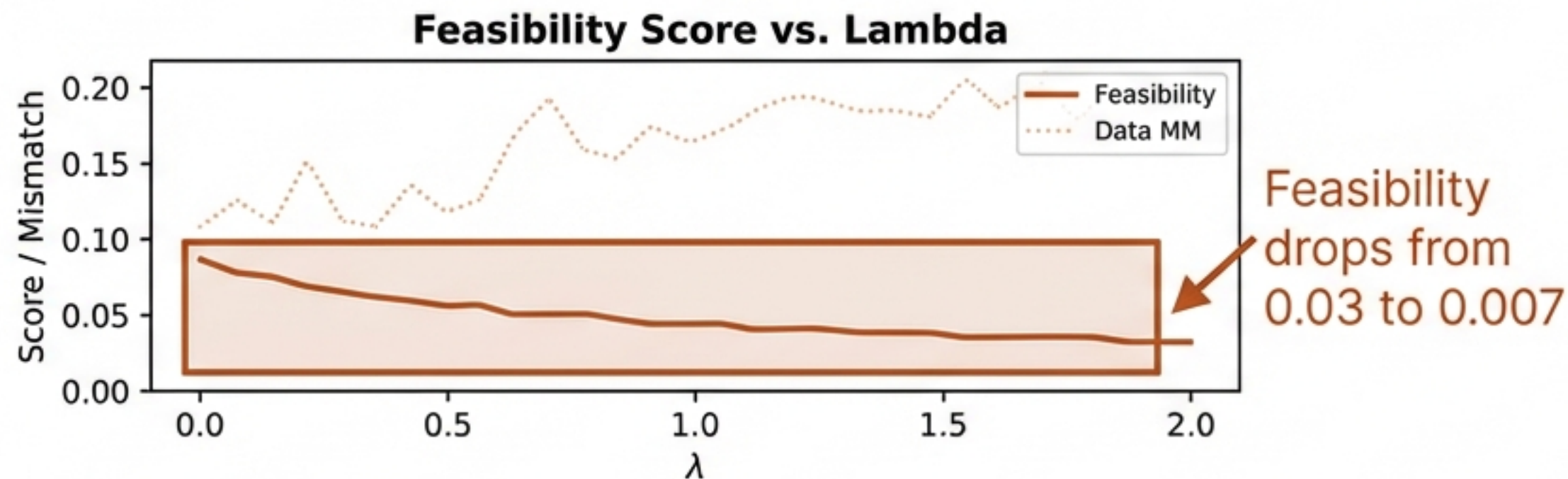


# Parameter Continuation: The Path Matters.

Tracking a single minimum as regularization increases (0  $\rightarrow$  2).



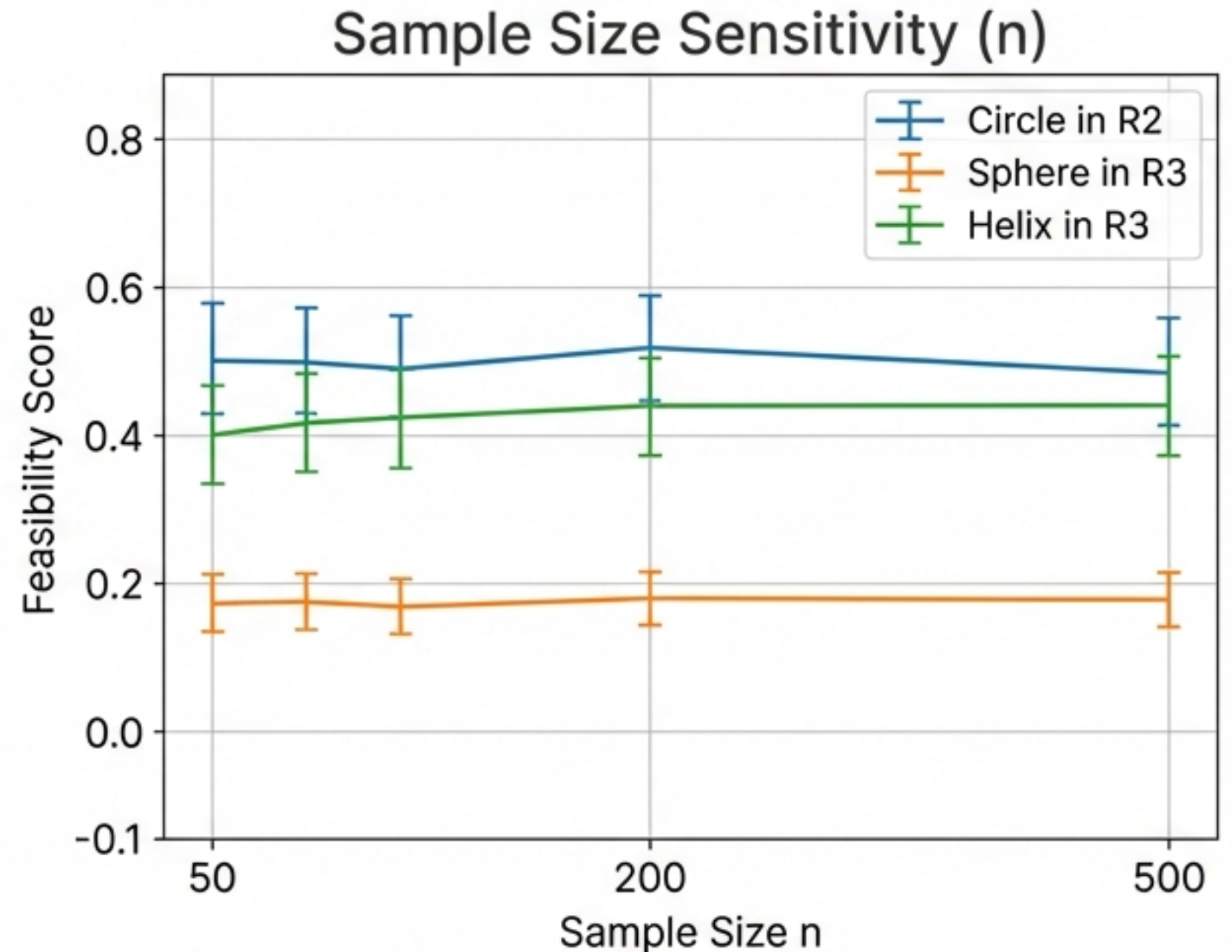
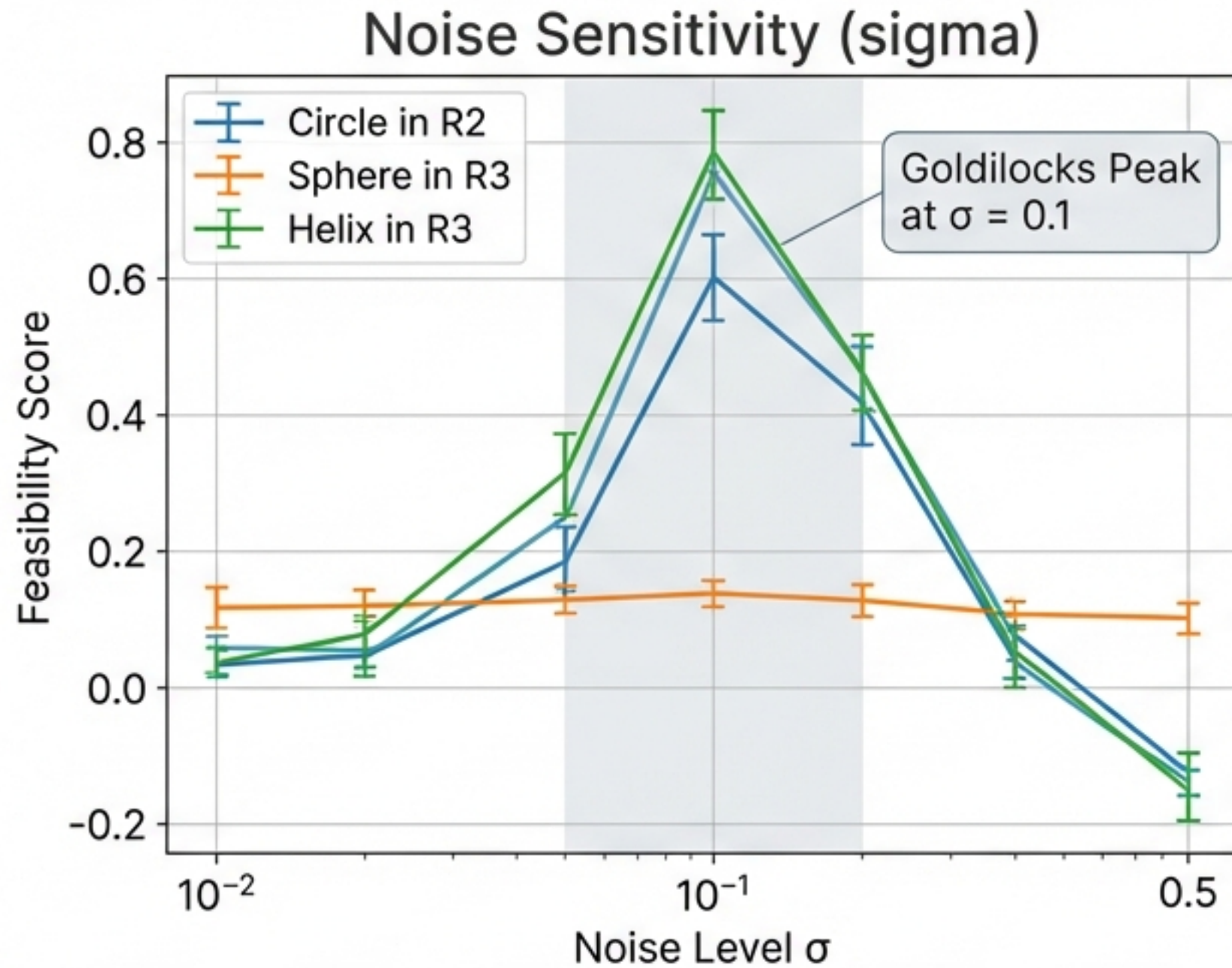
Smooth deformation without bifurcations, but Feasibility degrades monotonically.



**Insight:** Warm-starting locks you into the initial basin. Random restarts are necessary to find better basins.



# Sensitivity Analysis: Robustness Checks



Results are robust to sample size. Noise shows an optimal range around  $\sigma=0.1$ .



# Verdict: The Gap Between Theory and Practice

The Recoverability Theorem	The Optimization Reality
<p><b>Assumption:</b> A solution exists satisfying F1, F2, F3.</p> <p><b>Status:</b> <b>TRUE</b> (Proven by Oracle).</p>	<p><b>Reality:</b> Gradient Descent cannot find it.</p> <p><b>Mechanism 1:</b> F2 Failure (Linear Encoder Bottleneck).</p> <p><b>Mechanism 2:</b> F1/F3 Conflict (Penalty forces metric underestimation).</p>

**Infeasibility at local minima is a LANDSCAPE property, not a capacity limit.**



# Practical Takeaways for Manifold Learning



## Tuning Lambda

Do not maximize blindly. High regularization destroys data fidelity. Balance is key.



## Optimization Strategy

Avoid warm-starts; they lock you in. Use Random Restarts to explore diverse basins.



## Architecture

Linear encoders are a bottleneck for F2. Use nonlinear encoders for complex manifolds like Spheres.



# Conclusion.

We have characterized the open problem of Riemannian AmbientFlow feasibility.

1. The geometric penalty creates a trade-off that current optimization methods cannot bypass.
2. Theoretical recoverability guarantees rely on assumptions that the optimization landscape actively resists.
3. Future work must focus on optimization strategies (e.g., basin-hopping) and nonlinear encoders.

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## References & Acknowledgments.

- Diepeveen et al., Riemannian AmbientFlow (2026)
- AmbientFlow (2023)
- "Optimization Landscape and Feasibility in Updated Riemannian AmbientFlow" (Anonymous Authors)